

## CS560

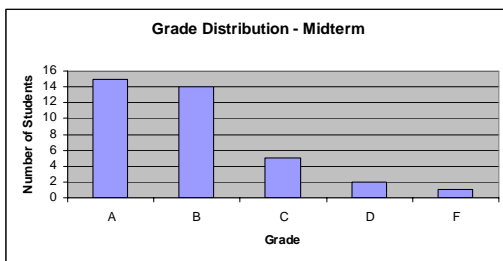
LECTURE 7  
Feb 17, 2005

Slides: From John Mitchell, Concepts in Programming Languages, 2003.

## Announcements

- ◆ Homework 3 is posted; due week9
- ◆ Midterm results
- ◆ Any questions?

## Midterm Results



- Ave: 75 points; Max: 89 points; Min: < 50

## Overview of Class Tonight

- ◆ Scope
  - scope/lifetime
  - activation records
  - parameter passing
  - globals variables
- ◆ Control

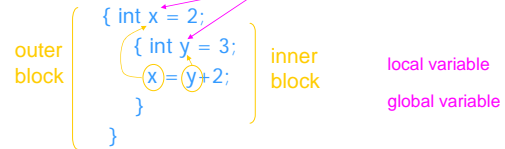
## Topics

- ◆ Block-structured languages and stack storage
- ◆ In-line Blocks
  - activation records
  - storage for local, global variables
- ◆ First-order functions
  - parameter passing

## Block-Structured Languages

### ◆ Nested blocks, local variables

- Example



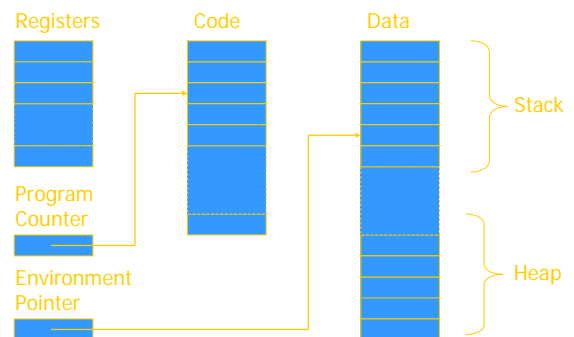
- Storage management

- Enter block: allocate space for variables
- Exits block: some or all space may be deallocated

## Examples

- ◆ Blocks in common languages
  - C `{ ... }`
  - Algol `begin ... end`
  - ML `let ... in ... end`
- ◆ Two forms of blocks
  - In-line blocks
  - Blocks associated with functions or procedures
- ◆ Topic: block-based memory management, access to local variables, parameters, global vars

## Simplified Machine Model



## Interested in Memory Mgmt Only

- ◆ Registers, Code segment, Program counter
  - Ignore registers
  - Details of instruction set will not matter
- ◆ Data Segment
  - Stack contains data related to block entry/exit
  - Heap contains data of varying lifetime
  - Environment pointer points to current stack position
    - Block entry: add new activation record to stack
    - Block exit: remove most recent activation record

## In-line Blocks

- ◆ Activation record
  - Data structure stored on run-time stack
  - Contains space for local variables

### ◆ Example

```

{ int x=0;
  int y=x+1;
  { int z=(x+y)*(x-y);
  };
};
    
```

Push record with space for x, y  
 Set values of x, y  
 Push record for inner block  
 Set value of z  
 Pop record for inner block  
 Pop record for outer block

May need space for variables and intermediate results like (x+y), (x-y)

## Some basic concepts

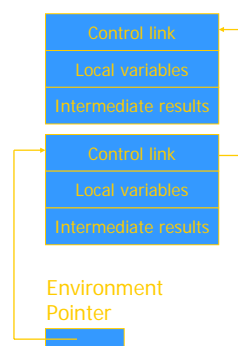
- ◆ Scope
  - Region of program text where declaration is visible
- ◆ Lifetime
  - Period of time when location is allocated to program

```

{ int x = ... ;
  { int y = ... ;
    { int x = ... ;
      ....
    };
  };
};
    
```

- Inner declaration of x hides outer one.
- Called "hole in scope"
- Lifetime of outer x includes time when inner block is executed
- Lifetime ≠ scope
- Lines indicate "contour model" of scope.

## Activation record for in-line block

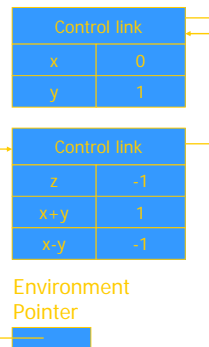


- ◆ Control link
  - pointer to previous record on stack
- ◆ Push record on stack:
  - Set new control link to point to old env ptr
  - Set env ptr to new record
- ◆ Pop record off stack
  - Follow control link of current record to reset environment pointer

## Example

```
{ int x=0;
  int y=x+1;
  { int z=(x+y)*(x-y);
  };
};
```

Push record with space for x, y  
 Set values of x, y  
 Push record for inner block  
 Set value of z  
 Pop record for inner block  
 Pop record for outer block



## Scoping rules

### ◆ Global and local variables

- x, y are local to outer block
- z is local to inner block
- x, y are global to inner block

```
{ int x=0;
  int y=x+1;
  { int z=(x+y)*(x-y);
  };
};
```

### ◆ Static scope

- global refers to declaration in closest enclosing block

### ◆ Dynamic scope

- global refers to most recent activation record

These are same until we consider function calls.

## Functions and procedures

### ◆ Syntax of procedures (Algol) and functions (C)

```
procedure P (<pars>)      <type> function f(<pars>)
begin                    {
  <local vars>           <local vars>
  <proc body>            <function body>
end;                      };
```

### ◆ Activation record must include space for

- parameters
- return address
- return value  
(an intermediate result)
- location to put return value on function exit

## Activation record for function



### ◆ Return address

- Location of code to execute on function return

### ◆ Return-result address

- Address in activation record of calling block to receive return address

### ◆ Parameters

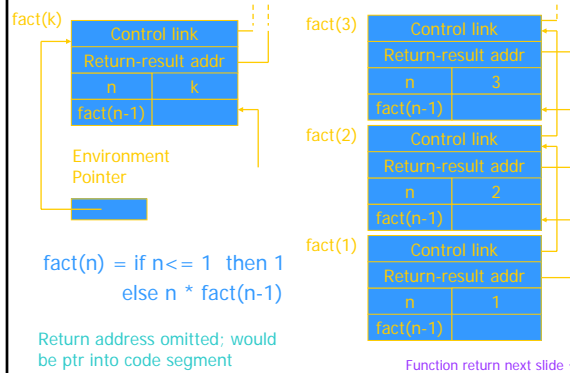
- Locations to contain data from calling block

## Example

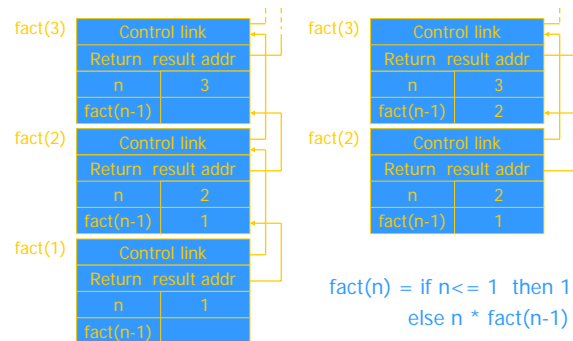


- ◆ **Function**  
 $\text{fact}(n) = \text{if } n \leq 1 \text{ then } 1 \text{ else } n * \text{fact}(n-1)$
- ◆ **Return result address**
  - location to put  $\text{fact}(n)$
- ◆ **Parameter**
  - set to value of  $n$  by calling sequence
- ◆ **Intermediate result**
  - locations to contain value of  $\text{fact}(n-1)$

## Function call



## Function return



## Topics for first-order functions

- ◆ **Parameter passing**
  - use ML reference cells to describe pass-by-value, pass-by-reference
- ◆ **Access to global variables**
  - global variables are contained in an activation record higher "up" the stack

## ML imperative features (review)

### ◆ General terminology: L-values and R-values

- **Assignment** `y := x+3`
  - Identifier on left refers to location, called its L-value
  - Identifier on right refers to contents, called R-value

### ◆ ML reference cells and assignment

- **Different types for location and contents**
  - `x : int` non-assignable integer value
  - `y : int ref` location whose contents must be integer
  - `!y` the contents
  - `ref x` expression creating new cell initialized to `x`
- **ML form of assignment**
  - `y := x+3` place value of `x+3` in location (cell) `y`
  - `y := !y + 3` add 3 to contents of `y` and store in location `y`

## Parameter passing

### ◆ Pass-by-reference

- Caller places L-value (address) of actual parameter in activation record
- Function can assign to variable that is passed

### ◆ Pass-by-value

- Caller places R-value (contents) of actual parameter in activation record
- Function cannot change value of caller's variable
- Reduces aliasing (alias: two names refer to same loc)

## Example

pseudo-code

```
function f(x) =
  { x := x+1; return x };
var y : int = 0;
print f(y)+y;
```

pass-by-ref

Standard ML

```
fun f(x : int ref) =
  (x := !x+1; !x );
y = ref 0 : int ref;
f(y) + !y;
```

pass-by-value

```
fun f(z : int) =
  let x = ref z in
    x := !x+1; !x
  end;
y = ref 0 : int ref;
f(!y) + !y;
```

## Access to global variables

### ◆ Two possible scoping conventions

- Static scope: refer to closest enclosing block
- Dynamic scope: most recent activation record on stack

### ◆ Example

```
int x=1;
function g(z) = x+z;
function f(y) =
  { int x = y+1;
    return g(y*x) };
f(3);
```

outer block	x	1
f(3)	y	3
	x	4
g(12)	z	12

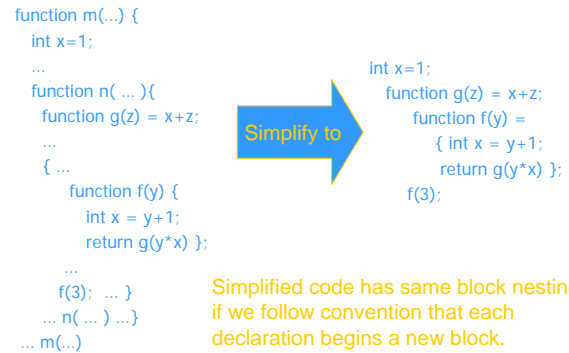
Which x is used for expression `x+z` ?

## Activation record for static scope

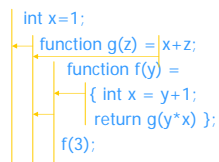


- ◆ **Control link**
  - Link to activation record of previous (calling) block
- ◆ **Access link**
  - Link to activation record of closest enclosing block in program text
- ◆ **Difference**
  - Control link depends on dynamic behavior of prog
  - Access link depends on static form of program text

## Complex nesting structure

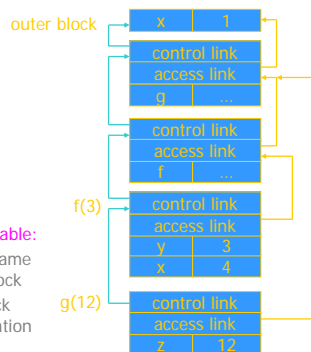


## Static scope with access links



Use access link to find global variable:

- Access link is always set to frame of closest enclosing lexical block
- For function body, this is block that contains function declaration



## Higher-Order Functions

- ◆ **Language features**
  - Functions passed as arguments
  - Functions that return functions from nested blocks
  - Need to maintain environment of function
- ◆ **Simpler case**
  - Function passed as argument
  - Need pointer to activation record "higher up" in stack
- ◆ **More complicated second case**
  - Function returned as result of function call
  - Need to keep activation record of returning function

## Example

### ◆ Map function

```
fun map (f, nil) = nil
| map(f, x::xs) = f(x) :: map(f,xs)
```

## Pass function as argument

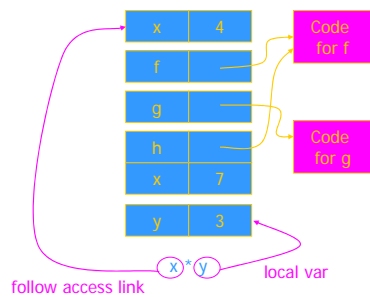
```
val x = 4;
fun f(y) = x*y;
  fun g(h) = let
    val x=7
    in
      h(3) + x;
    end
g(f);

{ int x = 4;
  { int f(int y) {return x*y;}
  { int g(int→int h) {
    int x=7;
    return h(3) + x;
  }
  g(f);
} } }
```

There are two declarations of `x`  
Which one is used for each occurrence of `x`?

## Static Scope for Function Argument

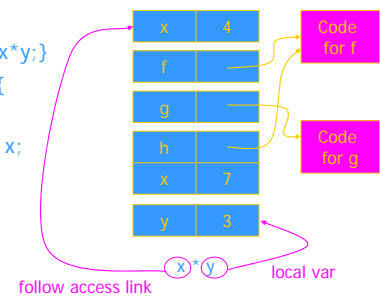
```
val x = 4;
fun f(y) = x*y;
  fun g(h) =
    let
      val x=7
    in
      h(3) + x;
    end
g(f);
```



How is access link for `h(3)` set?

## Static Scope for Function Argument

```
{ int x = 4;
  { int f(int y) {return x*y;}
  { int g(int→int h) {
    int x=7;
    return h(3) + x;
  }
  g(f);
} } }
```



How is access link for `h(3)` set?

## Closures

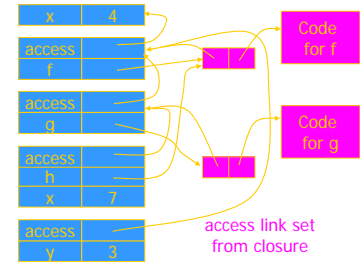
- ◆ Function value is pair  $closure = \langle env, code \rangle$
- ◆ When a function represented by a closure is called,
  - Allocate activation record for call (as always)
  - Set the access link in the activation record using the environment pointer from the closure

## Function Argument and Closures

```

val x = 4;
fun f(y) = x*y;
fun g(h) =
  let
    val x=7
  in
    h(3) + x;
  end
g(f);
    
```

Run-time stack with access links

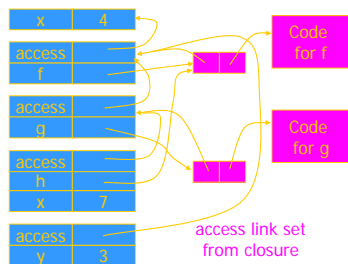


## Function Argument and Closures

```

{ int x = 4;
  { int f(int y){return x*y;}
    { int g(int→int h) {
      int x=7;
      return h(3)+x;
    }
    g(f);
  }
}
    
```

Run-time stack with access links



## Summary: Function Arguments

- ◆ Use closure to maintain a pointer to the static environment of a function body
- ◆ When called, set access link from closure
- ◆ All access links point "up" in stack
  - May jump past activ records to find global vars
  - Still deallocate activ records using stack (lifo) order

## Return Function as Result

### ◆ Language feature

- Functions that return "new" functions
- Need to maintain environment of function

### ◆ Example

```
fun compose(f,g) = (fn x => g(f x));
```

### ◆ Function "created" dynamically

- expression with free variables  
values are determined at run time
- function value is closure = (env, code)
- code *not* compiled dynamically (in most languages)

## Example: Return fctn with private state

```
fun mk_counter (init : int) =
  let val count = ref init
      fun counter(inc:int) =
        (count := !count + inc; !count)
      in
        counter
      end;
  val c = mk_counter(1);
  c(2) + c(2);
```

- Function to "make counter" returns a closure
- How is correct value of count determined in c(2) ?

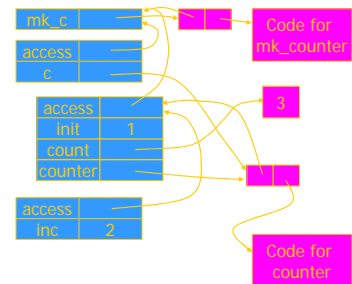
## Example: Return fctn with private state

```
{int→int mk_counter (int init) {
  int count = init;
  int counter(int inc) { return count += inc;}
  return counter}
int→int c = mk_counter(1);
print c(2) + c(2);
}
```

Function to "make counter" returns a closure  
How is correct value of count determined in call c(2) ?

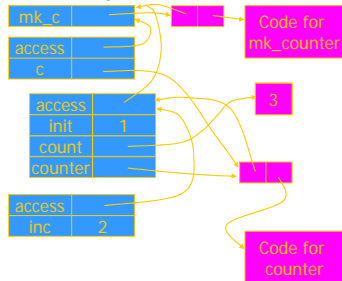
## Function Results and Closures

```
fun mk_counter (init : int) =
  let val count = ref init
      fun counter(inc:int) = (count := !count + inc; !count)
      in
        counter
      end;
  val c = mk_counter(1);
  c(2) + c(2);
```



## Function Results and Closures

```
{int→int mk_counter (int init) {
  int count = init;
  int counter(int inc) { return count+=inc;}
  return counter;
}
int→int c = mk_counter(1);
print c(2) + c(2);
}
```



## Summary: Return Function Results

- ◆ Use closure to maintain static environment
- ◆ May need to keep activation records after return
  - Stack (lifo) order fails!
- ◆ Possible “stack” implementation
  - Forget about explicit deallocation
  - Put activation records on heap
  - Invoke garbage collector as needed
  - Not as totally crazy as it sounds
    - May only need to search reachable data

## Summary of scope issues

- ◆ Block-structured lang uses stack of activ records
  - Activation records contain parameters, local vars, ...
  - Also pointers to enclosing scope
- ◆ Several different parameter passing mechanisms
- ◆ Function parameters/results require closures
  - Closure environment pointer used on function call
  - Stack deallocation may fail if function returned from call
  - Closures *not* needed if functions not in nested blocks

## Exercise☺

```
val m = 3;
fun f(y) = (m+y) -2;
fun g(h) = let val m = 4 in h(m) end;
let val m = 5 in g(f) end;
```

1. What are the types of m, f, g
2. What is the output?
3. Draw the ARs corresponding to the execution.

## Topics → Chapter 8

### ◆ Structured Programming

- Go to considered harmful

### ◆ Exceptions

- “structured” jumps that may return a value
- dynamic scoping of exception handler

## Fortran Control Structure

```
10 IF (X .GT. 0.000001) GO TO 20
11 X = -X
   IF (X .LT. 0.000001) GO TO 50
20 IF (X*Y .LT. 0.00001) GO TO 30
   X = X-Y-Y
30 X = X+Y
   ...
50 CONTINUE
   X = A
   Y = B-A
   GO TO 11
   ...
```



Similar structure may occur in assembly code

## GO TO Statement Considered Harmful --Dijkstra

For a number of years I have been familiar with the observation that the quality of programmers is a decreasing function of the density of **go to** statements in the programs they produce. More recently I discovered why the use of the **go to** statement has such disastrous effects, and I became convinced that the **go to** statement should be abolished from all "higher level" programming languages (i.e. everything except, perhaps, plain machine code). At that time I did not attach too much importance to this discovery; I now submit my considerations for publication because in very recent discussions in which the subject turned up, I have been urged to do so.

## Historical Debate

- ◆ Dijkstra, Go To Statement Considered Harmful
  - Letter to Editor, *C ACM*, March 1968
  - Google: [paper title](#)
- ◆ Knuth, Structured Prog. with go to Statements
  - You can use goto, but do so in structured way ...
- ◆ General questions
  - Do syntactic rules force good programming style?
  - Can they help?

## Advance in Computer Science

### ◆ Standard constructs that structure jumps

if ... then ... else ... end  
while ... do ... end  
for ... { ... }  
case ...

### ◆ Modern style

- Group code in logical blocks
- Avoid explicit jumps except for function return
- Cannot jump *into* middle of block or function body

## Exceptions: Structured Exit

### ◆ Terminate part of computation

- Jump out of construct
- Pass data as part of jump
- Return to most recent site set up to handle exception
- Unnecessary activation records may be deallocated
  - May need to free heap space, other resources

### ◆ Two main language constructs

- Declaration to establish exception *handler*
- Statement or expression to *raise* or *throw* exception

Often used for unusual or exceptional condition, but not necessarily

## Questions