

Undecidability in Number Theory

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Presented By

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Yes !

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Yes!

(-283059965, -2218888517, 2220422932)

Does $x^3 + y^3 + z^3 = 33$ have a solution ?

Existence of a Solution?

Not yet ! Remains unsolved !

Hence we are interested in the question - Is there an integral solution to a polynomial ?

Brings us back to Hilbert's 10th Problem - H10

Find an algorithm that solves the following problem:

Input: a multivariable polynomial $f(x_1, \dots, x_n)$ with integer coefficients

Output: YES or NO, according to whether there exist integers a_1, a_2, \dots, a_n such that $f(a_1, \dots, a_n) = 0$.

What is an Algorithm ?

Church-Turing :

Every purely mechanical procedure can be carried out by a Turing machine

Algorithm \equiv Turing machine

Turing machine :

- Finite-length program running on a physical computer containing :
- Unlimited time
- Memory

Few Definitions

DIOPHANTINE

Set $A \subseteq \mathbb{Z}$ is diophantine

if there exists a polynomial $p(t, x) \in \mathbb{Z}[t, x_1, \dots, x_n]$
such that

$$A = \{a \in \mathbb{Z} : (\exists x \in \mathbb{Z}^n) p(a, x) = 0\}.$$

Example 2. The subset $\mathbb{N} := \{0, 1, 2, \dots\}$ of \mathbb{Z} is diophantine since for $a \in \mathbb{Z}$, we have

$$a \in \mathbb{N} \iff (\exists x_1, \dots, x_4 \in \mathbb{Z}) x_1^2 + \dots + x_4^2 = a.$$

Few Definitions

LISTABLE

Set $A \subseteq \mathbb{Z}$ is listable (or recursively enumerable) if there is an algorithm that prints A .

Turing machine prints out A , a set of integers when left running forever.

Obviously any diophantine subset of \mathbb{Z} is listable

Few Definitions

COMPUTABLE

Set $A \subseteq \mathbb{Z}$ is computable (or recursive) if there is an algorithm for deciding membership in A

Input : Integer a

Outputs: YES or NO ; whether $a \in A$.

The Halting Problem

Determining whether a Turing machine **halts** (by accepting or rejecting) on a given input.

Theorem :

The halting problem is undecidable; that is, no Turing machine can solve it.

Corollary : There exists a listable set that is not computable.

THE DPRM THEOREM (Davis, Putnam, Robinson, Matiyasevich 1970)

- A subset of \mathbb{Z} is listable if and only if it is diophantine.

To prove their theorem, these four authors :

- Built a computer out of diophantine equations!
- They showed that **diophantine equations** are rich enough to simulate any computer
- One can construct a polynomial equation that has an integer solution if and only if the program halts.

RESULTS OF H10 PROBLEM :

Undecidability of the halting problem => There exists a listable set that is not computable.

By the DPRM theorem implies a diophantine set that is not computable.

By definition, this means that we have a polynomial $p(t, x)$ such that there is no algorithm for deciding for which values $a \in \mathbb{Z}$ the equation $p(a, x) = 0$ has a solution in integers x_1, \dots, x_n .

There cannot be an algorithm for deciding the existence of integer solutions to all polynomial equations.

Fun Consequences of DPRM

- There is an algorithm for a polynomial in one variable any degree to decide the existence of integer solution
- Likely that the problem is decidable for polynomial of degree 2.
- There is an algorithm for equations of degree 1 and 2
- The situation for degree 3 is unknown.
- There is no algorithm for equation of degree 4.

Extended Facts of DPRM Theorem

Even a simple computable equation have smallest solution that is huge

T. Skolem Theorem :

Every higher degree polynomial equation is equivalent to one of degree 4

Reimann Hypothesis

The DPRM theorem gives an explicit polynomial equation that has a solution in integers if and only if the Riemann hypothesis is false.

Sketch of proof.

- Write a computer program that, when left running forever,
- Will detect a counterexample to the Riemann hypothesis if one exists.
- Simulate this program with a DIOPHANTINE equation

H10 over \mathbb{Q} (rings)

It is **unknown** if there exists an algorithm that decides whether a multivariable polynomial equation has a solution in rational numbers.

If **Z is diophantine over \mathbb{Q}** , then the negative answer for Z implies a negative answer for \mathbb{Q} .

But there is a conjecture that implies that **Z is not diophantine over \mathbb{Q}** :

First-order sentences over \mathbb{Z}

Logic Based Sentences H10 asks for an algorithm to decide the truth of **positive existential sentences**

$$(\exists x_1 \exists x_2 \cdots \exists x_n) p(x_1, \dots, x_n) = 0.$$

in the language of rings, where the variables run over integers.

To Find **an algorithm to decide the truth** of arbitrary first-order sentences in which any number of bound quantifiers \exists and \forall are permitted:

First-order sentences over \mathbb{Z}

Example of typical such sentence is

$$(\exists x)(\forall y)(\exists z)(\exists w) (x \cdot z + 3 = y^2) \vee \neg(z = x + w)$$

Church, Gödel, and Turing in the 1930

There was no algorithm to solve the harder problem of deciding the truth of first-order sentences over \mathbb{Z} .

First-order sentences over \mathbb{Q}

It is **not known** whether Z is diophantine over \mathbb{Q} ,

Theorem (J. Robinson 1949):

One can characterize Z as the set of $t \in \mathbb{Q}$ such that a particular first-order formula of the form $(\forall \sim x)(\exists \sim y)(\forall \sim z)(\exists w \sim) p(t, \sim x, \sim y, \sim z, w \sim) = 0$ is **true**, when the variables range over **rational numbers**.

Corollary:

There is no algorithm to decide the truth of a first-order sentence over \mathbb{Q}

Theorem 18. *The set \mathbb{Z} equals the set of $t \in \mathbb{Q}$ such that*

$$\begin{aligned}
 & (\forall a, b)(\exists x_1, x_2, x_3, x_4, y_2, y_3, y_4) \\
 & (a + x_1^2 + x_2^2 + x_3^2 + x_4^2)(b + x_1^2 + x_2^2 + x_3^2 + x_4^2) \\
 & \cdot \left[(x_1^2 - ax_2^2 - bx_3^2 + abx_4^2 - 1)^2 \right. \\
 & \left. + \prod_{n=0}^{2309} \left((n - t - 2x_1)^2 - 4ay_2^2 - 4by_3^2 + 4aby_4^2 - 4 \right)^2 \right] \\
 & = 0
 \end{aligned}$$

is true, when the variables range over rational numbers.

H10 over subrings of \mathbb{Q}

Let $P = \{2, 3, 5, \dots\}$. There is a bijection

$\{\text{subsets of } P\} \leftrightarrow \{\text{subrings of } \mathbb{Q}\}$

$S \rightarrow \mathbb{Z}[S^{-1}]$.

$\mathbb{Z}[S^{-1}]$: Rational Number whose denominator is divisible by prime in S

Examples:

$S = \emptyset$, $\mathbb{Z}[S^{-1}] = \mathbb{Z}$, answer is negative

Partial Proof 2003 :

There exists a recursive set of primes $S \subset P$ of density 1 such that H10 over $\mathbb{Z}[S^{-1}]$ has a **negative answer**

Status of knowledge

The table below summarizes what is known regarding the questions

- Is there an algorithm for H10 over R ?
- Is there an algorithm to decide the truth of arbitrary first-order sentences over R ?

Ring	H10	1 st order
\mathbb{C}	YES	YES
\mathbb{R}	YES	YES
\mathbb{F}_q	YES	YES
p -adic fields	YES	YES
$\mathbb{F}_q((t))$?	?
$\bar{\mathbb{Z}}$	YES	YES
number field	?	NO
\mathbb{Q}	?	NO
global function field	NO	NO
$\mathbb{F}_q(t)$	NO	NO
$\mathbb{C}(t)$?	?
$\mathbb{C}(t_1, \dots, t_n), n \geq 2$	NO	NO
$\mathbb{R}(t)$	NO	NO
\mathcal{O}_k	?	NO
\mathbb{Z}	NO	NO

REFERENCES

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THANK YOU
ANY questions ?